



Section 1

Dkt. 0575/62429/JPW/JML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David M. Stern and Shi-Du Yan
U.S. Serial No.: 09/638,695
Filed : August 14, 2000
For : TRANSGENIC MICE OVER-EXPRESSING AMYLOID-BETA
DEHYDROGENASE (ABAD) IN BRAIN AS MODEL OF
ALZHEIMERS DISEASE AND USES THEREOF

1185 Avenue of the Americas
New York, New York 10036
November 22, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: Box Missing Parts

Sir:

**COMMUNICATION IN RESPONSE TO
OCTOBER 16, 2000 NOTICE TO FILE MISSING
PARTS OF APPLICATION UNDER 37 C.F.R. §1.53(b)**

This Communication is submitted in reply to a Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) Filing Date Granted by the U.S. Patent and Trademark Office in connection with the above-identified application on October 16, 2000, a copy of which is enclosed as **Exhibit A**. The surcharge for responding to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) is SIXTY FIVE DOLLARS (\$65.00) for a small entity. Applicants attach hereto as **Exhibit C** a signed, Verified Statement Claiming Small Entity Status and maintain that small entity status is applicable. A check including \$65.00 is enclosed herewith. In accordance with the Notice, the deadline for submitting a response is December 16, 2000. Accordingly, this Communication is being timely filed.

Applicants: David M. Stern and Shi Du Yan
U.S. Serial No.: 09/638,695
Filing Date: August 14, 2000
Page 2

Applicants submit herewith as **Exhibit B** a Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(d) and in compliance with 37 C.F.R. §1.63. The Declaration refers to the application's above-identified serial number and filing date.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone at the number provided below.

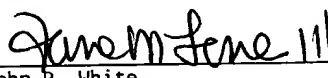
No fee, other than the enclosed \$65.00 surcharge fee, is deemed necessary in connection with the filing of this Communication. If any additional fees are required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

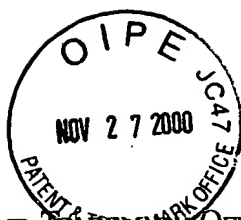
Respectfully submitted,



John P. White
Registration No. 28,678
Jane M. Love
Registration No. 42,812
Attorneys for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231

 11/22/00
John P. White
Reg. No. 28,678
Jane M. Love
Reg. No. 42,812



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/638,695	08/14/2000	David M. Stern	0575/62429/JPW/JML

John P White
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, NY 10036

FORMALITIES LETTER



OC000000005474054

Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/29/2000 GTEFFERA 00000029 09638695

01 FC:205

65.00 0P